



Freedom of Information and Protection of Privacy Act (FOIPPA)

The information requested on this form is collected under the authority of section 26(c) of the Freedom of Information and Protection of Privacy Act. The information is collected for the purpose of processing, investigating, and responding to your complaint. Complaints are treated as confidential and maintained as such, subject to enforcement proceedings and the Freedom of Information and Protection of Privacy Act. If you have any questions about the collection or use of this information, please contact the BC Financial Services Authority at 604-660-3555, 600 - 750 West Pender Street, Vancouver, B.C., V6C 2T8.

INSTRUCTIONS

- 1. All applicable information must be provided
2. Please print clearly
3. If additional information is required, reference and attach addendum pages to this form
4. Upon completion, one copy of this form must be provided to the prospective lender, and one copy must be retained by the mortgage broker.

5. Contact:
Registrar of Mortgage Brokers
600 - 750 West Pender Street
Vancouver, B.C. V6C 2T8
Email: Mortgagebrokers@bcfsa.ca
Web: https://bcfsa.ca/
Ph: 604-660-3555 Toll-free: 1-866-206-3030 (BC)
Fax: 604-660-3365

For Office Use Only
Approved By: Date Approved:
Restrictions:

PART 1 - DIRECTOR'S LEGAL NAME & CONTACT INFORMATION

MISS MR. MRS. MS. OTHER:

FIRST NAME MIDDLE NAME LAST NAME
PHONE NUMBER FAX NUMBER EMAIL ADDRESS
ADDRESS FOR SERVICE IN BRITISH COLUMBIA (complete address including postal code)

RESIDENTIAL ADDRESS (complete address including postal code)

PART 2 - PERSONAL HISTORY

List any and all previous names you have been known by

DATE OF BIRTH PLACE OF BIRTH

INDICATE YOUR CITIZENSHIP

CANADIAN PERMANENT WORK IN CANADA UNDER A WORK PERMIT
OTHER (please specify)

Please indicate if you have lived outside of Canada in the last 10 years, and if so where:

PART 3 - MORTGAGE BROKER

REGISTERED NAME
PHONE NUMBER FAX NUMBER
BUSINESS ADDRESS (complete address including postal code)

PART 4 – EMPLOYMENT HISTORY

Give full disclosure on your business activities, including self-employment and unemployment periods, if any, for the full 5 year period immediately preceding the date of this application (if more space is required attach a separate sheet):

EMPLOYER	NATURE OF EMPLOYMENT	FROM (MONTH/YEAR)	TO (MONTH/YEAR)

PART 5 – PRIOR LICENSING OR REGISTRATION

Have you ever been licensed, registered or authorized in any capacity, under any of the following acts or under similar legislation in British Columbia or elsewhere?

- | | | |
|--|------------------------------|-----------------------------|
| <input type="checkbox"/> <i>Financial Institutions Act</i> | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| <input type="checkbox"/> <i>Mortgage Brokers Act</i> | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| <input type="checkbox"/> <i>Real Estate Services Act</i> | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| <input type="checkbox"/> <i>Securities Act</i> | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| <input type="checkbox"/> OTHER (please specify) | | |

Have you ever been refused a license or registration, or have you ever been disciplined or had your license or registration suspended or cancelled under any of the acts in question 5(a) or under any other legislation in British Columbia or elsewhere

- YES NO

PART 6 – BANKRUPTCY, JUDGMENTS, CIVIL OR CRIMINAL PROCEEDINGS

To your knowledge, are you currently the subject of an investigation by any law enforcement or regulatory agency in British Columbia or elsewhere?

- YES NO

Have you been charged or indicated, or have you been convicted without pardon, under any law of any province, state, or country, including and not limited to criminal and regulatory breaches?

- YES NO

Have you personally, or has any business of which you are or were an officer, director, or partner been subject to bankruptcy proceedings?

- YES NO

Has any judgment been rendered against you personally, or against any business of which you were at the time an officer, director or partner, in any civil court in British Columbia or elsewhere, for any reason whatsoever?

- YES NO

Are there any pending legal proceedings against you or any business of which you were an officer, director, or partner?

- YES NO

For any of the questions in Section 5 or 6 to which you have answered YES, you must provide complete details as an exhibit using the same numbering as on this application.

WARNING:

Any application containing a false statement may result in the refusal, suspension or cancellation of any registration.

PART 7 – APPLICANT CERTIFICATION

I hereby authorize BCFSa to ascertain my credit rating by performing a credit check with the appropriate Credit Bureau. Note: Any information BCFSa obtains through this consent will be used only for determining suitability for licensing under the Mortgage Brokers Act.

I, the undersigned, certify that I am the applicant herein for registration or approval and the statement of facts made by me in this application and in the exhibits attached, if any, are true and complete.

SIGNATURE OF DIRECTOR

PRINT NAME

DATE SIGNED

PART 8 – CERTIFICATION BY THE DESIGNATED INDIVIDUAL

I, the undersigned, certify that I am the applicant herein for registration or approval and the statement of facts made by me in this application and in the exhibits attached, if any, are true and complete.

SIGNATURE OF DESIGNATED INDIVIDUAL

PRINT NAME

DATE SIGNED